



# Rostrum size differences between Toarcian belemnite battlefields

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**Abstract.** Body size changes have been reported across crisis intervals. Belemnites – now considered extinct stem-decabrachians – have rarely been investigated for this purpose, and the few studies have resulted in ambiguous outcomes. Here we investigate two Toarcian belemnite accumulations in southern Germany from a morphometric point of view with the support of computed tomography data. The aim of this study is to test whether a difference in size can be observed between the rostra of the two studied samples, from individual lineage to community, and which proxy is more reliable. A significant decrease in median size from the Early Toarcian (*Dactylioceras tenuicostatum* Zone) to the Middle Toarcian (*Haugia variabilis* Zone) is recognized. This is observed at the community level of organization, considering the whole assemblage, but also within *Passaloteuthis*–*Acrocoelites* lineage, at the genus level. It is also demonstrated that diameter-based measurements or maximum preserved length are not reliable proxies for size, and therefore apical length or three-dimensional approximations, such as the geometric mean or the post-phragmocone volume, are more advisable. This is especially important when comparing specimens with markedly different rostrum shapes. Further studies are, however, still necessary to disentangle the mechanisms behind the reduction in rostrum size within the Toarcian and their putative environmental causes.

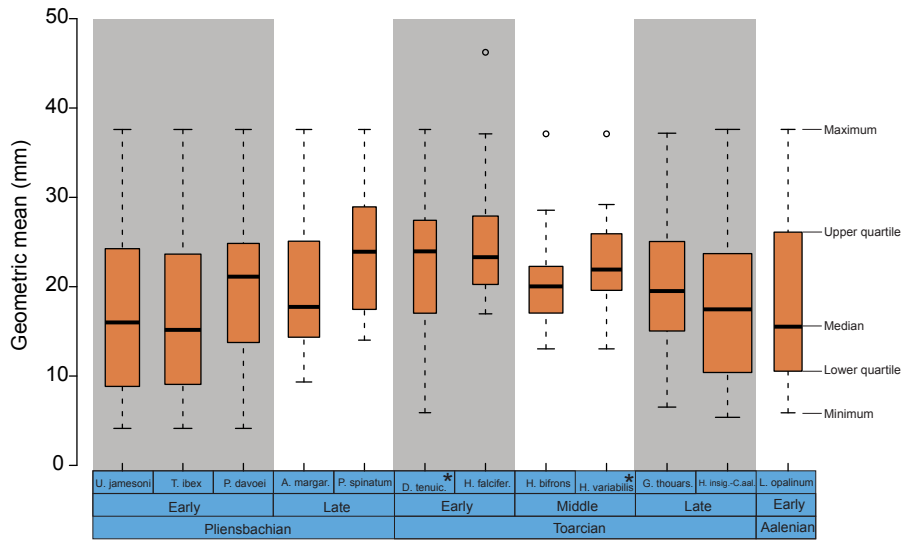
## 1 Introduction

The Early Toarcian coincides with a multi-phased crisis (Harries and Little, 1999; Caruthers et al., 2013), which has been mainly attributed to warming and/or anoxia (Little and Benton, 1995; Harries and Little, 1999; Hesselbo et al., 2000; Pálffy and Smith, 2000; Wignall et al., 2005; Danise et al., 2013). This crisis is reflected in biotic communities through the occurrence of two major extinction events and through

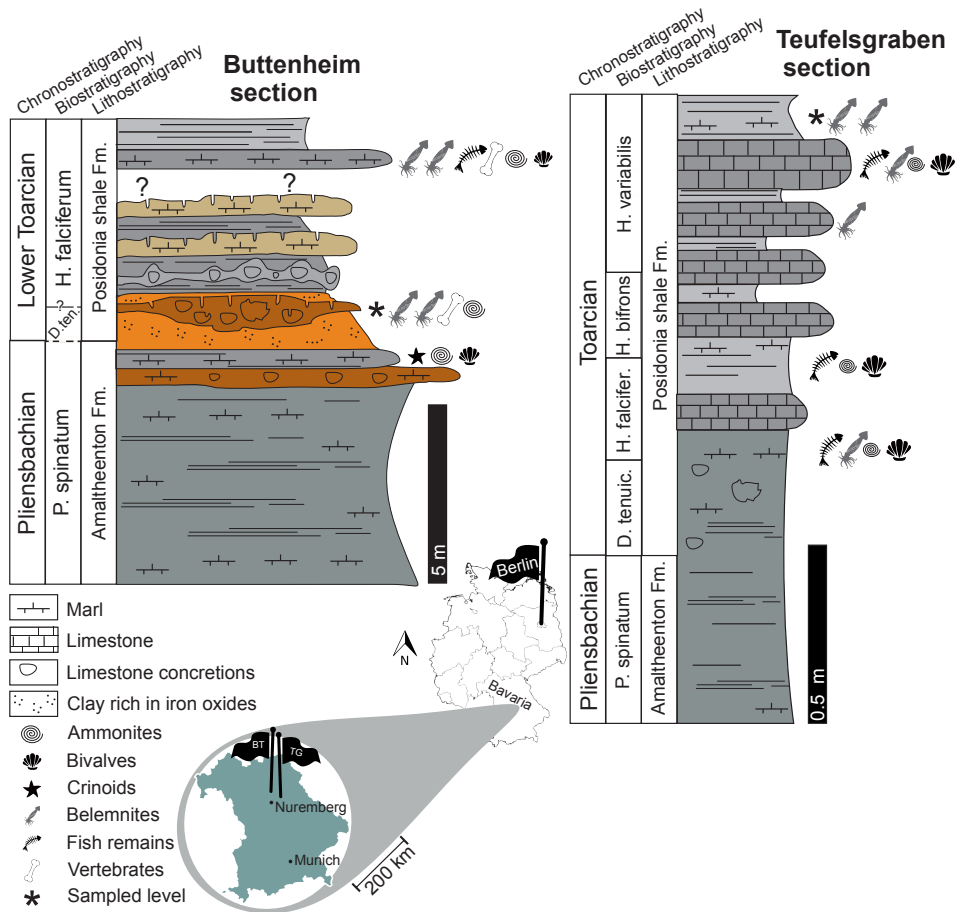
changes in morphological disparity (Dera et al., 2010, 2016). Among these, body size reductions have been reported in various lineages (Morten and Twitchett, 2009; Martindale and Aberhan, 2017; Rodríguez-Tovar et al., 2017). This so-called Lilliput effect (Harries and Knorr, 2009) has not only been reported for the Toarcian Oceanic Anoxic Event but also for other extinction events (e.g. Twitchett, 2007; Harries and Knorr, 2009; Borths and Ausich, 2010; Rego et al., 2012; Sogot et al., 2014). However, the evolutionary and ecological importance of the Lilliput effect remains poorly understood. Furthermore, because of physiological differences, not all organisms necessarily exhibit a body size reduction in the face of warming or other environmental stresses (Gardner et al., 2011; Ohlberger, 2013). Some authors even consider that, in some cases, the body size reduction might reflect a preservation or collection artefact (McGowan et al., 2009; Brayard et al., 2010).

The Early Toarcian crisis also coincides with a major turnover in belemnite faunas. A drop in diversity, manifested by morphological bottlenecks, is followed by episodes of belemnite diversification during the recovery interval in the Middle–Late Toarcian (Riegraf, 1980; Doyle, 1994; Caswell and Coe, 2014; Ullmann et al., 2014; Dera et al., 2016). A preliminary analysis of the data compiled by Schlegelmilch (1998) on belemnites from southern Germany suggests a decrease in median rostrum size (as a proxy for body size) from the Early to the Middle Toarcian (Fig. 1).

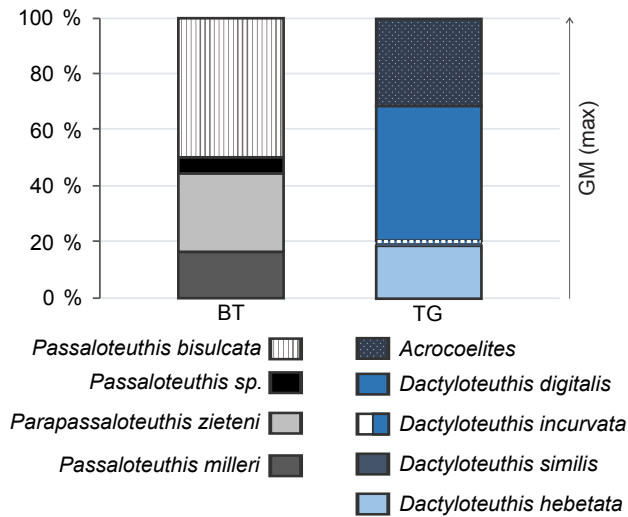
Most of the previous studies on belemnite rostrum size have focused on particular taxa or lineages (Christensen, 2000; Morten and Twitchett, 2009), precluding a proper analysis of the potential differences observed when considering rostrum size changes at different levels of organization (i.e. populations, communities, individuals). In addition, the methods applied usually focus on the analysis of a single morphometric parameter, such as maximum diameter. Despite the fact that the rostrum diameter is often exposed and



**Figure 1.** Belemnite body size fluctuations across the Pliensbachian (*Uptonia jamesoni* Zone)–Aalenian (*Leioceras opalinum* Zone) interval, according to Schlegelmilch (1998). A body size reduction is observed from the Early to the Middle Toarcian. Timescale according to Dera et al. (2016). The width of the boxes is proportional to the number of observations. Asterisks indicate the sampled levels.



**Figure 2.** Location of the studied sections in Bavaria, southern Germany, and sampled stratigraphic levels: Buttenheim (BT) (49°47′41.83″ N, 11°2′42.47″ E) and Teufelsgraben (TG) (49°35′41.40″ N, 11°16′20.90″ E).



**Figure 3.** Proportion of the main taxa identified in Buttenheim (BT) and Teufelsgraben (TG) sections, organized vertically according to relative rostrum size (maximum geometric mean). *Acrocoelites* includes *A. quenstedti*, *A. pyramidalis* and *A. subbrevis* and *A. sp.*, which were merged in order to reach a comparable sample size, regarding the remaining taxa.

easily measurable, it can be misinterpreted in partially embedded or broken specimens. In addition, it has been demonstrated that the error on the diameter-based measurements is comparatively larger than the error associated with length-based measurements. This is related to preservation issues as well as the smaller dimension of the diameter in comparison with length (De Baets et al., 2013). The rostrum length would also be more closely correlated with the mantle length used in extant coleoid size studies (Roper and Voss, 1983), but the alveolar region is often flattened and/or broken, hampering precise measurements. Unidimensional or linear shell measurements, particularly diameter, are potentially imprecise when comparing morphologically dissimilar taxa. Therefore, the apical length or volumetric estimates (Novack-Gottshall, 2008) as well as the geometric mean of two or three dimensions (Jablonski, 1996; Dommergues et al., 2002; Kosnik et al., 2006; Nürnberg et al., 2012) might be more appropriate for size studies, the latter particularly when comparing specimens with markedly different rostrum morphologies. To achieve this, we focus here on the post-alveolar part of the orthorostrum (rostrum solidum), since the belemnite posterior soft tissues closely track its outline (e.g. in Mesozoic coleoids, the fins typically attach to it; Fuchs et al., 2015) and it partially counterbalances the belemnite phragmocone and soft parts (Monks et al., 1996). The contribution of the rostrum on buoyancy would depend on its structure and porosity (see Discussion).

Due to their exceptionally high abundance in belemnite rostra, belemnite battlefields are ideal to obtain large samples

for a rostrum size analysis, although various mechanisms can contribute to the formation of belemnite accumulations (Urlich, 1971; Doyle and Macdonald, 1993). In many cases, a certain degree of condensation might be involved, resulting in temporal and/or spatial averaging. However, this is probably equivalent to the result of merging several layers of less rich accumulations in order to reach an appropriate sample size. Therefore, further analysis is necessary to investigate whether battlefields can be considered a useful resource for rostrum size analysis.

Here we compare data from two distinct belemnite battlefields in order to examine whether a rostrum size difference can be recognized between them, as is indicated by the analysis of the latest comprehensive literature survey from the considered interval (Schlegelmilch, 1998). The main aim is to assess the performance of individual traditional morphometric parameters or a combination of these as volume metrics or as proxies for rostrum size, considering either the entire assemblage of belemnites (community level of organization) or particular lineages (genus level of organization).

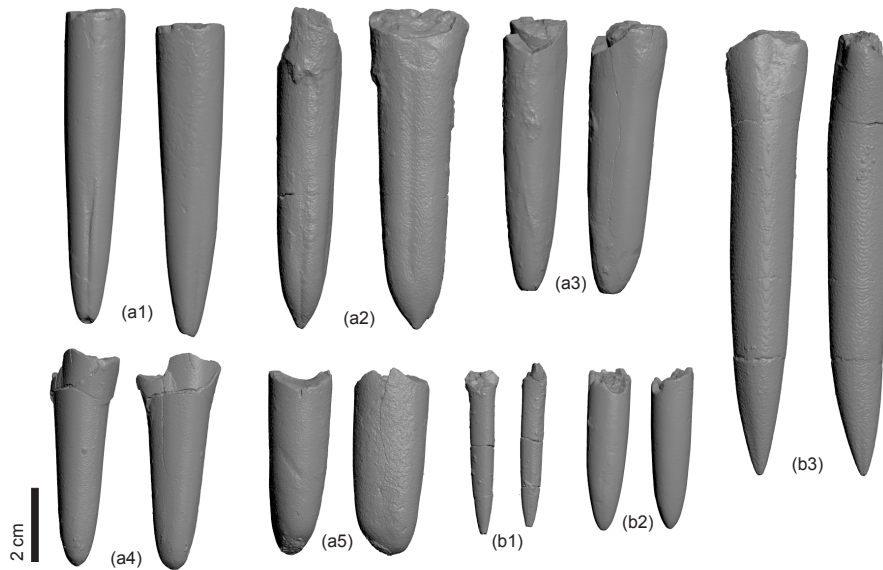
## 2 Material and methods

### 2.1 Material

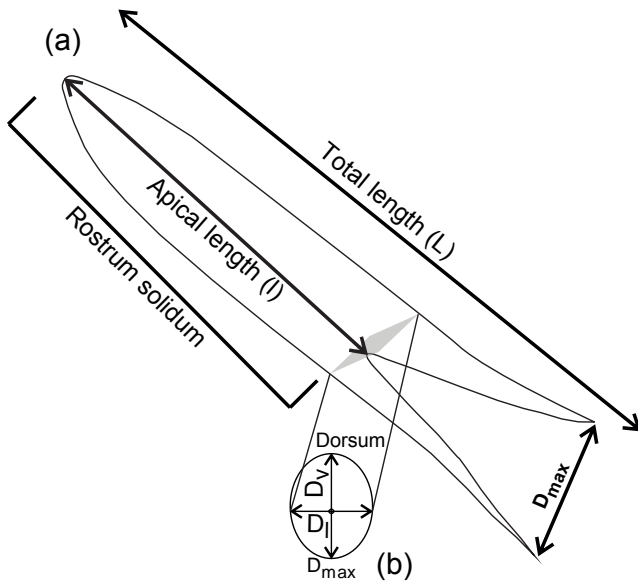
We focused our study on two Toarcian belemnite battlefields (Doyle and Macdonald, 1993) from Franconia, southern Germany, in the Buttenheim and Teufelsgraben localities (Fig. 2). We sampled all belemnite rostra from predetermined well-accessible areas within the two considered belemnite battlefields. The well-preserved specimens (i.e. at least with the rostrum solidum preserved) were determined to the species level and measured (Table S2 in the Supplement; Fig. 3). No epistrosum-bearing specimens were recorded in the studied sites. Due to the absence of common species in the datasets, an individual lineage, the *Passaloteuthis*–*Acrocoelites* line (e.g. Schlegelmilch, 1998), was selected, since it includes two genera with very similar features which comprise an important proportion of the assemblages (Fig. 4). Therefore, this group includes all the specimens belonging to *Passaloteuthis* and *Acrocoelites* genera.

From Buttenheim, 72 specimens were collected from a clay-rich sediment at the top of the *Bollernbank* (see Fig. 1). Despite the presence of Upper Pliensbachian reworked fossils, the *Bollernbank* in Buttenheim is thought to represent the most basal Toarcian (Hoffmann et al., 2007; Keupp and Schweigert, 2008), especially if we consider the belemnites deriving from claystones, which are autochthonous, according to Bandel and Knitter (1983).

The belemnite battlefield in Teufelsgraben, which can be traced from Möning, near Neumarkt, to Unterstürmig, north of Forchheim, is usually attributed to the Upper Toarcian *Grammoceras thouarsense* Zone (Urlich, 1971). How-



**Figure 4.** Belemnite rostra from Teufelsgraben (a) and Buttenheim (b): a1 – *Acrocoelites quenstedti*; a2 – *Dactyloteuthis incurvata*; a3 – *Dactyloteuthis similis*; a4 – *Dactyloteuthis hebetata*; a5 – *Dactyloteuthis digitalis*; b1 – *Passaloteuthis milleri* (juvenile); b2 – *Parapassaloteuthis zietenii*; b3 – *Passaloteuthis bisulcata*. Left corresponds to ventral (a) or dorsal (b) view and right corresponds to lateral view. For more taxa see Figs. S1, S2 and S3 in the Supplement.



**Figure 5.** Longitudinal view (a) and transverse section (b) of belemnite rostrum with the measured parameters indicated: total length, apical length, height ( $D_v$ ), width ( $D_l$ ), and maximum diameter ( $D_{max}$ ).

ever, recent chemostratigraphy data on Teufelsgraben material suggests an attribution to the *Haugia variabilis* Zone (Middle Toarcian; Kallina, 2016). A total of 111 belemnite rostra were collected in this section.

According to the accumulation scheme of Doyle and Macdonald (1993), the Buttenheim and Teufelsgraben battlefields belong to the stratigraphical type of accumulation, as condensation played a role in both cases (Urlichs, 1971; Bandel and Knitter, 1983). In Teufelsgraben, there is also clear evidence for current alignment (Urlichs, 1971), which could not be documented in the Buttenheim section. Both accumulations include specimens with evidence of epifaunal colonization by boring organisms (e.g. barnacles: Seilacher, 1968). We therefore consider the Buttenheim battlefield to be an ancient lag subtype, rather than the transported concentration subtype of Doyle and Macdonald (1993).

## 2.2 Morphometry

Because belemnite soft tissues are rarely preserved (Reitner and Urlichs, 1983; Klug et al., 2010; Clements et al., 2017), their rostrum is used as a proxy for their body size. We measured the rostrum parameters traditionally reported in the literature (Doyle, 1990; Schlegelmilch, 1998; Sanders et al., 2015): total length ( $L$ ), apical length ( $l$ , i.e. post-phragmocone length measured from apex to protoconch), height ( $D_v$ ) and width ( $D_l$ ) at the protoconch level, adopting the abbreviations used by Doyle (1990) (Fig. 5). We approximated the measurement of the maximum diameter ( $D_{max}$ ; as it is in the bedding plane) by using the largest measured diameter (typically at the aperture for our specimens, which are of conical and cylindrical shape), as it was also previously used in size analyses (Morten and Twitchett, 2009) (Fig. 5). After considering each of these parameters individually, a

three-dimensional approach was implemented by calculating the geometric mean (GM; Eq. 1) and using different volume formulas, such as elliptical cylinder ( $V_{ecy}$ ; Eq. 2), elliptical cone ( $V_{ec}$ ; Eq. 3), and their arithmetic mean ( $V_{med}$ ; Eq. 4). This derived volume was further compared with empirical data, i.e. volume directly calculated from the micro-CT scans (post-phragmocone volume and total volume).

$$GM = \sqrt[3]{D_1 \times D_v \times l} \quad (1)$$

$$V_{ecy} = \pi \times l \times \frac{D_v}{2} \times \frac{D_1}{2} \quad (2)$$

$$V_{ec} = \frac{1}{6} \times \pi \times l \times D_v \times D_1 \quad (3)$$

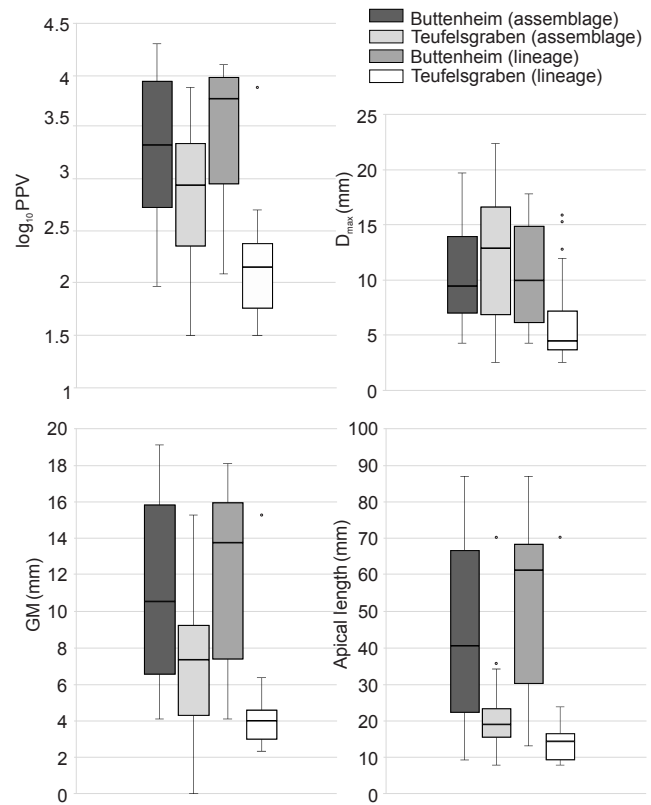
$$V_{med} = V_{(V_{ecy}+V_{ec})/2} = \frac{4}{3} \pi \times D_v \times D_1 \times l \quad (4)$$

### 2.3 Computed tomography

In order to obtain the measurements at the position of the protoconch without destroying the specimens and to have a direct way of measuring the volume, all the well-preserved specimens (i.e. with at least the rostrum solidum preserved) were scanned with our in-house micro-CT phoenix v|tome|x s 240 (Research Edition) scanner. For this purpose, 117 specimens (78 from Teufelsgraben and 39 from Buttenheim) were mounted in a florist foam block for stability. This material has very low X-ray attenuation and thus is readily distinguishable from the specimen. An average of 884 projections with a 0.5 mm copper filter were obtained at approximately 174 kV and 320 mA, in accordance with the size of the specimens. Settings were chosen on a sample-specific basis based on an optimal compromise between spatial resolution, contrast resolution, and scan acquisition time (Table S1). The reconstruction was made with the GEDatos|x2.4 software. Subsequent image stack processing (e.g. subsampling), as well as the measurements and volume acquisition, was derived using Studio Volume Graphics Max<sup>TM</sup> v 3.0 software (Heidelberg). Some additional specimens were measured using a calliper (Table S2, specimens lacking volume data information). Both post-phragmocone volume (PPV; i.e. the volume of the rostrum solidum) and total volume (TV) were calculated. Additional information about the scans and the specimens measured can be found in the Supplement (Tables S1 and S2). The renderings (Figs. 4, S1 and S2) were made with the open-source software Blender 2.78.

### 2.4 Taxonomy and ontogeny

Belemnites were cleaned in order to enhance the visibility of features that allow a proper determination at species level, based on published descriptions and figures (Riegraf et al., 1984; Doyle, 1990, 1992; Schlegelmilch 1998; Pinard et al., 2014; Sanders et al., 2015; Weis et al., 2018). Species identification was based on analysis of traditional features, such as shape (outline and profile) and the presence of grooves

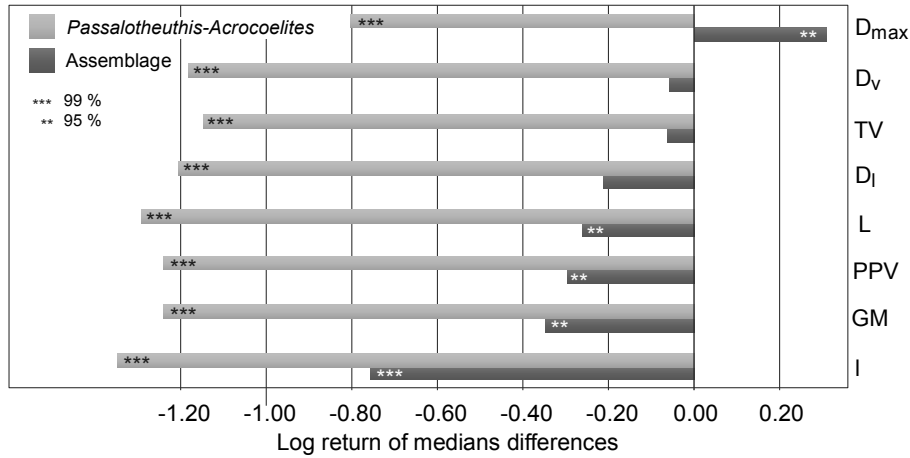


**Figure 6.** Box and whisker plots for the whole belemnite assemblage and the *Passaloteuthis-Acrocoelites* lineage for the Teufelsgraben and Buttenheim sections representing the distribution of some of the different measured ( $l$  and  $D_{max}$ ) and calculated (GM, PPV) morphometric parameters.

in the apical region (ventral or dorso-lateral) (Figs. 4, S1, S2 and S3). The transverse section, depth of penetration of the alveolus, and the apical line were observed using the CT-scanning method. This method also allowed us to recognize the features of each ontogenetic stage with the acquired longitudinal sections. Features such as shape, grooves (using the transverse sections), depth of penetration of the alveolus, and the apical line were observed and compared with literature descriptions (Doyle, 1990, 1992), allowing us to distinguish between adult (ephebic-gerontic sensu Doyle, 1990) and juvenile (nepionic-neanic sensu Doyle, 1990) specimens.

### 2.5 Statistics

In our analyses of the two battlefields, the medians of the different morphometric parameters were compared using non-parametric tests, namely the Mann–Whitney  $U$  test (Mann and Whitney, 1947) and Mood’s median test (Mood, 1941, 1954), both at community (whole assemblage) and population (*Passaloteuthis–Acrocoelites* lineage) levels. The distribution of rostrum size measurements was compared using the non-parametric Kolmogorov–Smirnov test (Kolmogorov,



**Figure 7.** Log return of median differences for different measured ( $l$ ,  $L$ ,  $D_v$ ,  $D_1$  and  $D_{max}$ ) and calculated (GM, PPV, TV) morphometric parameters for both the whole belemnite assemblage and the *Passaloteuthis-Acrocoelites* lineage, for the Buttenheim and Teufelsgraben sections. Asterisks refer to the confidence levels and their absence indicate non-significant  $p$  values for at least one of the tests performed (see Table 1). Except for  $D_{max}$ , all parameters indicate that the median rostrum size in the Teufelsgraben assemblage is smaller than in the Buttenheim assemblage.

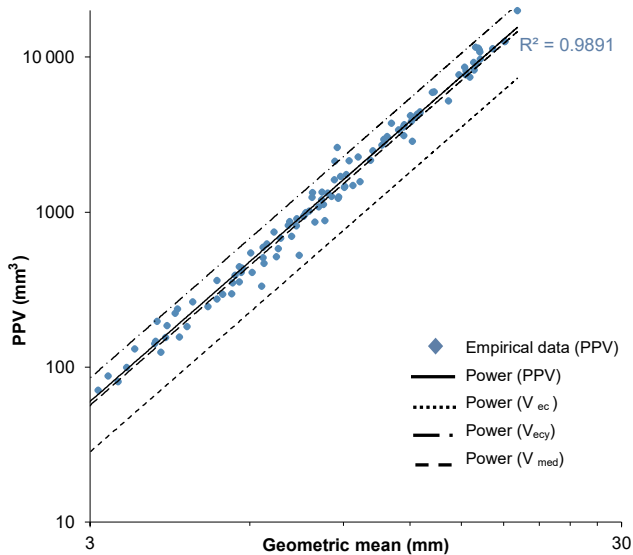
**Table 1.**  $p$  values for differences in distribution (Kolmogorov–Smirnov test) and median (Mann–Whitney and Mood’s median tests) of the Teufelsgraben and Buttenheim assemblages. The results are given for the whole belemnite assemblage and for the *Passaloteuthis-Acrocoelites* lineage. Non-significant values are marked in bold.

	<i>Dactylioceras tenuicostatum</i> Zone – <i>Haugia variabilis</i> Zone (Schlegelmilch, 1998)		Assemblage			<i>Passaloteuthis-Acrocoelites</i> lineage		
	Mann–Whitney test	K-S test	Mann–Whitney test	Mood’s median test	K-S test	Mann–Whitney test	Mood’s median test	K-S test
$L$	<b>0.692</b>	<b>0.823</b>	1.33E-04	1.89E-02	1.34E-04	9.79E-10	9.43E-11	2.94E-10
$l$	<b>0.280</b>	0.029	2.41E-08	1.30E-07	5.27E-08	6.92E-09	2.35E-10	1.46E-10
$D_v$	<b>0.231</b>	<b>0.145</b>	<b>1.72E-01</b>	<b>8.00E-01</b>	<b>6.03E-02</b>	3.59E-09	6.30E-09	1.11E-09
$D_1$	<b>0.831</b>	<b>0.513</b>	9.42E-04	<b>3.18E-01</b>	2.37E-03	2.69E-09	6.30E-09	2.31E-09
GM	<b>0.859</b>	<b>0.669</b>	6.44E-05	2.37E-02	6.04E-05	2.23E-09	2.35E-10	2.15E-10
$D_{max}$	–	–	1.95E-02	5.15E-04	2.41E-03	4.60E-07	8.62E-05	3.54E-06
TV	–	–	9.04E-03	<b>2.96E-01</b>	1.69E-02	1.33E-08	2.91E-08	3.37E-09
PPV	–	–	4.71E-04	3.90E-02	8.88E-05	3.94E-09	2.35E-10	7.62E-10

1933; Smirnov, 1948). The tests were implemented using R, version 3.4.4 (R Core Team, 2018). The magnitude of change from one interval to the next was assessed through the natural logarithmic return (Hudson and Gregoriou, 2015), the log ratio of the median of rostrum size estimates (example:  $\frac{\text{median of } D_v \text{ from Teufelsgraben}}{\text{median of } D_v \text{ from Buttenheim}}$ ). This metric is independent of absolute rostrum size and symmetrical for both losses and gains. In order to make PPV and TV comparable with the rest of the rostrum size proxies, the third root of these two volumes was used in the log ratio.

### 3 Results

When considering the whole belemnite assemblage, the two battlefields have significantly different distributions, according to the Kolmogorov–Smirnov test (Fig. 6 and Table 1). This can be observed when taking into account either the individual morphometric parameters ( $L$ ,  $l$ ,  $D_v$ ,  $D_1$  and  $D_{max}$ ) or the three-dimensional parameters (GM, TV and PPV), with at least a 90 % confidence level (Table 1). The least significant parameters are TV and  $D_v$  (Table 1). For the *Passaloteuthis-Acrocoelites* lineage, all the considered morphometric parameters are significantly different, with a 99 % confidence level (Table 1), revealing a smaller rostrum size

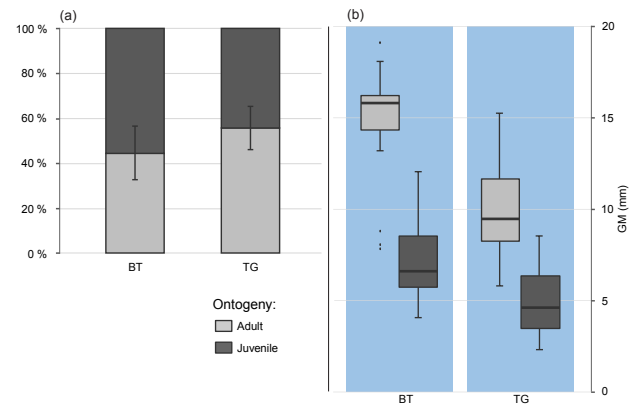


**Figure 8.** Comparison of empirical volume data (PPV) with power relationships fitted to estimated volumes based on different formulas ( $V_{ecy}$ ,  $V_{ec}$ ,  $V_{med}$ ) by using empirical linear dimensions. 0.9891 corresponds to the  $R^2$  value of the empirical data trend line (continuous black line).

in the Teufelsgraben belemnite battlefield than in Buttenheim (Fig. 6).

For the whole belemnite assemblage, all the different rostrum size parameters, except for  $D_{max}$ , indicate that the median rostrum size in Buttenheim is larger than in Teufelsgraben. The largest decrease observed in median size between the two assemblages is indicated by  $l$  followed by GM, PPV,  $L$ ,  $D_l$ , and  $TV/D_v$  (Fig. 7). With the exception of  $D_v$ ,  $TV$ , and  $D_l$ , all parameters are significantly different for a 95 % confidence level (Table 1). The magnitude of difference shown by the empirical volume measurements (PPV) is equivalent to the changes observed in  $L$  and GM, and they are significant for a 95 % confidence level. The length  $l$  seems to provide less conservative values of the magnitude of difference between the two datasets considered, in comparison with the empirical data. The exception to the trend observed is  $D_{max}$ , reporting a rostrum size increase from Buttenheim to Teufelsgraben, with a 95 % confidence level (Fig. 7).

Within the *Passaloteuthis*–*Acrocoelites* lineage, the largest differences in median are observed in  $l$  followed by  $L$ , PPV and GM,  $D_l$ ,  $D_v$ ,  $TV$ , and  $D_{max}$  (Fig. 7). Mood's median and the Mann–Whitney tests indicate a statistically significant difference between the two datasets, with a 99 % confidence level (Table 1). The majority of the parameters considered reproduce the results given by the empirical data in terms of the median magnitude of change. However,  $D_{max}$  provides an underestimation, showing a smaller magnitude of difference in the medians (Fig. 7).



**Figure 9.** Proportion of ontogenetic stages (a) and rostrum size variation (geometric mean) of adults and juveniles (b) from Buttenheim (BT) and Teufelsgraben (TG) belemnite battlefields. Both adults and juveniles from Teufelsgraben reveal lower rostrum size when compared to equivalent ontogenetic stages from the Buttenheim assemblage. The differences in median and in distribution for both adults (K-S test,  $p$  value =  $1.53 \times 10^{-10}$ ; Mann–Whitney test,  $p$  value =  $4.66 \times 10^{-8}$ ) and juveniles are significant (K-S test,  $p$  value =  $2.68 \times 10^{-3}$ ; Mann–Whitney test,  $p$  value =  $1.81 \times 10^{-4}$ ).

The empirical estimates of the post-phragmocone rostrum volume (PPV) obtained from the scans were compared with volume values calculated using different formulas ( $V_{ecy}$ ,  $V_{ec}$ ,  $V_{med}$ ). We observed that PPV is typically smaller than  $V_{ecy}$  and larger than  $V_{ec}$ . The volumes  $V_{ecy}$  and  $V_{ec}$  were calculated using  $D_v$ ,  $D_l$ , and  $l$ . In fact, PPV is almost indistinguishable from  $V_{med}$  (Fig. 8).

Both assemblages are comprised of adult and juvenile specimens. The assemblage from Buttenheim contains 44.4 % of adult specimens and 55.6 % of juveniles (Fig. 9a). The proportion of adults in Teufelsgraben is slightly higher (55.9 %), and juvenile specimens represent 44.1 % of the assemblage. The variation in rostrum size within ontogeny was assessed by comparing the differences in the GM between assemblages (Fig. 9b). Both adults and juveniles from Teufelsgraben reveal lower median rostrum size when compared to equivalent ontogenetic stages from the Buttenheim assemblage at the community level. The differences in the median among adults are larger than among juveniles (Fig. 9b). However, for the adults of the *Passaloteuthis*–*Acrocoelites* lineage, the differences between samples are not significant. The only adult specimen belonging to *Acrocoelites* from Teufelsgraben (GM = 15.25 mm) falls within the 95 % confidence interval of the adult *Passaloteuthis* from Buttenheim.

## 4 Discussion

### 4.1 The best proxy for rostrum size

By comparing the rostrum size of two different belemnite battlefields, we demonstrate that, for the entire assemblage, the size changes indicated by empirical volumetric data are equivalent to the results given by the geometric mean, which takes into account post-phragmocone measurements (Fig. 7). Length-based measurements ( $L$  and  $l$ ), when used individually, might slightly overestimate the differences between the two samples, if markedly different shapes of rostra are involved. However, they perform better than diameter-based metrics, which are demonstrated to provide weaker (less significant) or even opposite rostrum size patterns, when studying an entire assemblage. For the maximum diameter, we even obtained a statistically significant rostrum size increase from Buttenheim to Teufelsgraben, while all the other parameters indicated the opposite trend (Fig. 7).

When considering a particular lineage, in this case the *Passaloteuthis–Acrocoelites* lineage, the geometric mean can also be used as a proxy for rostrum size, providing precisely the same magnitude of difference between the medians as well as the total length (Fig. 7). However, if we consider the apical length individually, it slightly exaggerates the differences observed between the two samples. In contrast with the results of the whole assemblage, width measurements seem to perform only slightly worse than the geometric mean, when considering this lineage (Fig. 7). However, the maximum diameter underestimates the differences that exist between the two samples (Fig. 7).

The total volume is less reliable than the post-phragmocone volume, revealing an underestimated magnitude of difference between the medians of the two populations, in the case of the *Passaloteuthis–Acrocoelites* lineage (Fig. 7). For the whole assemblage, the total volume did not reveal significant rostrum size differences between the two samples, according to the results of Mood's median test (Table 1). The reason for this might be the fragility of the alveolar region, included in the total volume, which is often flattened and/or incomplete. For the same reason, the total length should not be used on its own as a proxy for belemnite rostrum size in poorly preserved specimens. However, if the preservation is good, by analogy with the mantle length measured in extant cephalopods (Nixon and Young, 2003; Hoving et al., 2013), the total length is probably a more accurate proxy for belemnite rostrum size.

For the reasons mentioned above, post-phragmocone measurements are more desirable for belemnite rostrum size analyses, especially if we can combine them in a three-dimensional approach, such as the geometric mean or empirical volume estimate. The only disadvantage of the usage of these metrics on size studies is the difficulty of measuring the morphometric parameters without CT scanning or destructive polished sections. CT scanning might be preferred, as

specimens do not necessarily have to be destroyed, and has the advantage that the entire volume, in addition to the post-alveolar length, can be easily estimated. Furthermore, when additional destructive methods are necessary (e.g. geochemistry), CT scans can be a useful tool, as they are a valuable way of digitally storing all the morphological information of the specimens.

The rostrum represents a considerable part of the belemnite animal, and it is hypothesized that it acts as a counterbalance for the soft parts and phragmocone (Monks et al., 1996). Despite the increasing amount of studies suggesting an original porous rostrum structure (or at least less calcified) (Spaeth, 1975; Benito et al., 2016; Hoffmann et al., 2016), in most belemnites, the rear soft parts closely track the rostrum outline. In addition, the rostrum is thought to be the attachment of the fins in belemnoids in general (Reitner and Urlichs, 1983; Klug et al., 2016). For these reasons, the rostrum can be considered a reasonable proxy for body size in the absence of preserved soft parts. However, if epistrosum-bearing specimens are part of the assemblage, the usage of this structure might compromise the size analysis. The epistrosum is thought to develop late in ontogeny (Arkhipkin et al., 2015), probably as a quite porous (or largely hollow) structure (Bandel and Spaeth, 1988; Stevens et al., 2017). This apparent body length increase of the animal was probably not related to a proportional increase in soft-tissue volume (as would be the case for a more massive rostrum). Therefore, it is not advisable to directly compare epistrosum-bearing specimens with specimens which did not develop such structures. However, no epistrosum-bearing specimens were recorded in our study.

Regardless of the possible relationship between rostrum size and belemnite soft body size, rostrum volume or its geometric mean is a more conservative proxy for belemnite body size than diameter-based measurements or rostrum total (preserved or maximum) length. However, further testing, particularly when comparing forms with markedly different rostrum shapes, might be necessary.

### 4.2 A belemnite size decrease during the Toarcian?

The combined analysis of different rostrum size proxies and empirical volume data revealed a significantly smaller size of the specimens from the Middle Toarcian (*Haugia variabilis* Zone, Teufelsgraben) than those from the Early Toarcian (*Dactylioceras tenuicostatum* Zone, Buttenheim), at the community level of organization (i.e. entire belemnite assemblage) (Fig. 6). The analysis of the published data on the biozone level in southern Germany by Schlegelmilch (1998) reveals the same pattern (Fig. 1), although the differences between the two biozones are not significant (Table 1). Interestingly, when studying the particular lineage *Passaloteuthis–Acrocoelites*, the same trend is observed (Fig. 7). The Schlegelmilch (1998) dataset represents the latest comprehensive synthesis of belemnite literature; however, the mea-



surements presented should be reviewed with caution, since the stratigraphic provenance of specimens is not always precisely known. In addition, they are taken from various publications, compromising their suitability for comparison with natural assemblages.

Depending on the physiological mechanisms involved and the possible causes, a body size decrease could be recognized at different biological scales of organization (Daufresne et al., 2009; Ohlberger, 2013). Therefore, a body size decrease at the community level can reflect changes in the taxonomic composition of the assemblages at the population level (i.e. the disappearance of large-sized taxa at the population level) or an increase in the proportion of juveniles at the genus level. In the studied case, however, we can rule out the effect of the ontogenetic proportion as the cause of the reduced rostrum size recognized at the community level, since the Teufelsgraben assemblage reveals a lower proportion of juveniles (Fig. 9a). Furthermore, the rostrum size reduction is recognized in both adult and juvenile stages (Fig. 9b).

Apart from the *Passaloteuthis–Acrocoelites* lineage, which is present in both samples, the Buttenheim assemblage also includes *Parapassaloteuthis zietenii*, the smallest taxa of the two assemblages, which is not represented in Teufelsgraben. *Dactyloteuthis* is exclusively represented in the Teufelsgraben assemblage and it comprises more than 60% of the assemblage. This genus includes relatively large (*Dactyloteuthis digitalis*) to relatively small taxa (*Dactyloteuthis hebetata*) (Fig. 3). At the community level of organization, the replacement of *Parapassaloteuthis zietenii* by *Dactyloteuthis*, with a larger median GM, would potentially counteract the trend seen in the *Passaloteuthis–Acrocoelites* lineage. However, at the community level, a significant decrease can still be recognized in GM between both assemblages – albeit smaller and less significant than the decrease observed in the *Passaloteuthis–Acrocoelites* lineage ( $p$  value<sub>assemblage</sub> =  $6.44 \times 10^{-5}$ ;  $p$  value<sub>lineage</sub> =  $1.893 \times 10^{-12}$ ) (Fig. 6). For this reason, we conclude that the disappearance of *Parapassaloteuthis zietenii* and the appearance of *Dactyloteuthis* (i.e. changes in the taxonomic composition) play a smaller role in the community rostrum size differences than the rostrum size changes within the *Passaloteuthis–Acrocoelites* lineage.

Current alignment has been reported for the battlefield of Teufelsgraben (Urlichs, 1971). However, it is very unlikely that current transport on its own could entirely explain the differences in rostrum size distribution between the two datasets, as it is expected that smaller specimens would be more prone to destruction and winnowing by currents than larger specimens, which would rather drive the pattern in the opposite direction. Furthermore, the specimens might have been exposed to similar taphonomic filters in Buttenheim (Bandel and Knitter, 1983). Nevertheless, the mechanisms of formation of battlefields (e.g. effects of currents, condensation, and reworking) need to be further investigated, due to the influence that they revealed to have on cephalopod size

distribution (e.g. flatter distribution in cases of higher condensation or winnowing, or relative destruction of smaller specimens by currents; Mancini, 1978; De Baets et al., 2015).

In extant relatives of belemnites, which are now considered to be stem-decabrachians (Fuchs et al., 2013; Klug et al., 2015, 2016; Clements et al., 2017), warming has been suggested to result in smaller hatchlings, faster growth, and maturity at smaller size (Pecl and Jackson, 2008; Hoving et al., 2013). Therefore, it is tempting to attribute this rostrum size reduction to the Early Toarcian palaeoenvironmental perturbations, since the studied samples are placed before and after the Toarcian Oceanic Anoxic Event, which falls in the *Harpoceras falciferum* Zone. The palaeoenvironmental perturbations, which have been attributed to the Karoo-Ferrar igneous province activity (Pálffy and Smith, 2000), include rapid warming (Kemp et al., 2005; Gómez and Goy, 2011; Dera and Donnadieu, 2012), widespread anoxia (Pálffy and Smith, 2000; Aberhan and Baumiller, 2003), and ocean acidification (Trecalli et al., 2012). However, considering the limited scope of the present study (e.g. lack of samples in the *Hildoceras bifrons* Zone), an attribution to temperature-related stressors would be premature.

Additional analyses with higher stratigraphic coverage are still necessary in order to reconstruct belemnite's size patterns across the Upper Pliensbachian–Middle Toarcian and discard the potential overprint of background changes in environmental parameters, facies, or taphonomic factors. However, quantifying post-phragmocone size distributions in larger samples of additional belemnite accumulations might be a robust way forward.

## 5 Conclusions

Our study of two belemnite battlefields from Germany, as well as the analysis of the current literature data, exhibits a difference in rostrum size of belemnites from the *Dactylioceras tenuicostatum* Zone to the *Haugia variabilis* Zone, indicated by several size proxies. This can be observed at the community level of organization, in the whole assemblage, but also in at least one individual lineage (*Passaloteuthis–Acrocoelites*). A rostrum size decrease was observed even when the different ontogenetic stages were individualized. It was also demonstrated that diameter-based measurements or total rostrum length are not reliable size proxies and, therefore, the apical length or three-dimensional approximations, such as the geometric mean or empirical estimates of post-phragmocone volume, are more advisable. This is particularly important when comparing specimens with markedly different rostrum shapes. However, the acquisition of additional highly resolved data is necessary to disentangle potential rostrum size patterns within the Toarcian.

*Data availability.* The data acquired for this analysis are available in the Supplement, together with measurements collected from the literature (Schlegelmilch, 1998).

*Sample availability.* The studied specimens are stored in the Bavarian Natural History Collections (Staatliche Naturwissenschaftliche Sammlungen Bayerns, SNSB). The respective reference numbers are provided in Table S2. The full-resolution image stacks (in TIFF format) and final 3-D models (in STL format) of the scanned belemnites are stored in the MorphoSource online database ([www.morphosource.org](http://www.morphosource.org); Rita, 2018), in accordance with Davies et al. (2017) specifications. They will be made public in late 2019, with the reference numbers indicated in Table S2. Additional meta-data can be found in the main body of text and also in Table S1.

**The Supplement related to this article is available online at <https://doi.org/10.5194/fr-21-171-2018-supplement>.**

*Author contributions.* PR and KB collected field data and did the taxonomic work. Measurements and micro-CT scans were made by PR and MS. KB and PR wrote the paper and performed the analyses and the further interpretation. MS contributed to the project in the framework of her bachelor thesis.

*Competing interests.* The authors declare that they have no conflict of interest.

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